



## **RIGHTS AND OBLIGATIONS OF EDM COUNCIL MEMBERS**

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The EDM Council has established five (8) categories of membership as follows:

### **Institutional Membership (Tiers A, B & C)**

*By laws Definition:* Financial institutions, companies or other organizations which have been invited to become an Institutional Member by the Board of Directors.

#### *Entitlements:*

- One vote for the election of Board of Directors and other matters that require a vote
- Stand for election to the Board of Directors of the Council
- Attend and actively participate in all meetings, events, working sessions and initiatives of the Council
- Contribute to the creation, prioritization and management of the Council agenda
- Access to documents, working papers, and reports of the Council
- Unrestricted access to the Council website
- Access to staff for strategy consultation and issue analysis
- Participation by an unlimited number of full time employees
- Invite other senior industry participants to join the Council
- Contribute to the intellectual foundations of the Council and be recognized as a thought leader on enterprise data management
- Help participate in Council market research

#### *Obligations:*

- Must be a full time employee of a financial institution and actively involved in data management initiatives (Encompasses any wholly owned subsidiaries, with the exception of financial firms with vendor subsidiaries. In this instance two separate memberships must be taken. )
- Appoint a representative to act on behalf of the financial institution on Council matters and to direct Council initiatives to the right people within the organization (i.e. traffic management)
- Authorize the Council to list the organization as a member on the Council website and be recognized as a member in public venues
- Actively participate in Council activities and be willing to share experiences with other members of the Council

## Institutional Members Tiering;

Line of Business	Metric	Tier A	Tier B	Tier C
Sell Side	Consolidated Capital	\$10B+	\$500M-\$10B	<\$500M
Buy Side	Assets under Management	\$200B+	\$50B-\$200B	<\$50B
Custody	Assets under Custody	\$1,000B+	\$100B-\$1,000B	<\$100B

### **Associate Membership**

*By laws Definition:* Regulatory organizations, government agencies, industry bodies and educational organizations which have been invited to become an Associate Member of the Council by the Board of Directors.

#### *Entitlements:*

- One vote for the election of Board of Directors and other matters that require a vote
- Stand for election to the Board of Directors of the Council
- Attend and actively participate in all meetings, events, working sessions and initiatives of the Council
- Contribute to the creation, prioritization and management of the Council agenda
- Access to documents, working papers, and reports of the Council
- Unrestricted access to the Council website
- Access to staff for strategy consultation and issue analysis
- Participation by an unlimited number of full time employees
- Invite other senior industry participants to join the Council
- Contribute to the intellectual foundations of the Council and be recognized as a thought leader on enterprise data management
- Help participate in Council market research

#### *Obligations:*

- Must be a full time employee of a regulatory organizations, government agency, industry body or educational organizations and actively involved in data management initiatives (Encompasses any wholly owned subsidiaries, with the exception of financial firms with vendor subsidiaries. In this instance two separate memberships must be taken. )
- Appoint a representative to act on behalf of the financial institution on Council matters and to direct Council initiatives to the right people within the organization (i.e. traffic management)
- Authorize the Council to list the organization as a member on the Council website and be recognized as a member in public venues
- Actively participate in Council activities and be willing to share experiences with other members of the Council

## **Premier Membership**

*By laws Definition:* Institutions, companies, organizations and individuals which, upon application to the Board, have been approved by the Board to become a Premier member of the Council.

### *Entitlements:*

- One vote for the election of Board of Directors and other matters that require a vote
- Stand for election to the Board of Directors of the Council  
Attend and actively participate in all meetings, events, working sessions and initiatives of the Council
- Contribute to the creation, prioritization and management of the Council agenda and research
- Access to and onward redistribution of documents, working papers, and reports of the Council
- Access to the Council website
- Access to staff for strategy consultation and issue analysis
- Participation by an unlimited number of full time employees
- Invite clients and other senior industry participants to join the General Council and the Executive Advisory Board
- Contribute to the intellectual foundations of the Council and be recognized as a thought leader on enterprise data management
- Full logo rights and listings on the EDM Council website and other marketing materials
- Annual, EDM Council managed, e-mailing to Council database

### *Obligations:*

- Must be a full time employee of a sponsoring organization and actively involved in data management initiatives
- Appoint a named senior executive representative to the Council (at an equivalent level as the institutional COO/CIO members participating in the Executive Advisory Board) and a back up executive to represent them in Council activities
- Authorize the Council to list the organization as a member on the Council website and be recognized as a member in public venues
- Actively participate in the issue development and agenda management activities of the Council.
- Refrain from direct sales presentations and corporate capabilities discussions within the EDM Council meeting environment
- Refrain from accessing the Council database for the purpose of direct sales campaigns
- Promote the Council at the appropriate levels among financial institution clients as well as externally to the press, regulators and other groups
- Facilitate the timely payment of dues and efficient recovery of expenses

## **Affiliate Membership**

*By laws Definition:* Institutions, companies, organizations and individuals which, upon application to the Board, have been approved by the Board to become an Affiliate Member of the Council.

### *Entitlements:*

- Attend and actively participate in open meetings, events, working sessions and initiatives of the Council
- Access to and onward redistribution of documents, working papers, and reports of the Council
- Access to the Council website
- Access to staff for strategy consultation and issue analysis
- Participation by an unlimited number of full time employees
- Invite clients and other senior industry participants to join the Council
- Contribute to the intellectual foundations of the Council and be recognized as a thought leader on enterprise data management
- Full logo rights and listings on the EDM Council website and other marketing materials
- Annual, EDM Council managed, e-mailing to Council database

### *Obligations:*

- Must be a full time employee of a sponsoring organization and actively involved in data management initiatives
- Appoint a named senior executive representative to the Council and a back up executive to represent them in Council activities
- Authorize the Council to list the organization as a member on the Council website and be recognized as a member in public venues
- Refrain from direct sales presentations and corporate capabilities discussions within the EDM Council meeting environment
- Refrain from accessing the Council database for the purpose of direct sales campaigns
- Promote the Council at the appropriate levels among financial institution clients as well as externally to the press, regulators and other groups
- Facilitate the timely payment of dues and efficient recovery of expenses

## **Corporate Membership (30 and 10)**

*By laws Definition:* Institutions, companies, organizations and individuals which, upon application to the Board, have been approved by the Board to become a Corporate Member of the Council. Corporate 30 Membership is for firms with 30 or less employees/consultants. Corporate 10 Membership is for firms with up to 10 or less employees/consultants.

### *Entitlements:*

- Attend and actively participate in open meetings, events, working sessions and initiatives of the Council
- Access to and onward redistribution of documents, working papers, and reports of the Council

- Access to the Council website
- Access to staff for strategy consultation and issue analysis
- Participation by all full time employees
- Invite clients and other senior industry participants to join the Council
- Contribute to the intellectual foundations of the Council and be recognized as a thought leader on enterprise data management
- Listing on the EDM Council website and other marketing materials

*Obligations:*

- Must be a full time employee/consultant of a sponsoring organization and actively involved in data management initiatives
- Appoint a named senior executive representative to the Council
- Authorize the Council to list the organization as a member on the Council website and be recognized as a member in public venues
- Refrain from direct sales presentations and corporate capabilities discussions within the EDM Council meeting environment
- Refrain from accessing the Council database for the purpose of direct sales campaigns
- Promote the Council at the appropriate levels among financial institution clients as well as externally to the press, regulators and other groups
- Facilitate the timely payment of dues and efficient recovery of expenses